



# Exponential Estimate for the asymptotics of Bergman kernels

Xiaonan Ma, George Marinescu

## ► To cite this version:

Xiaonan Ma, George Marinescu. Exponential Estimate for the asymptotics of Bergman kernels. 2013.  
hal-00872450v2

**HAL Id: hal-00872450**

**<https://hal.science/hal-00872450v2>**

Preprint submitted on 14 Oct 2013

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# EXPONENTIAL ESTIMATE FOR THE ASYMPTOTICS OF BERGMAN KERNELS

XIAONAN MA AND GEORGE MARINESCU

ABSTRACT. We prove an exponential estimate for the asymptotics of Bergman kernels of a positive line bundle under hypotheses of bounded geometry. We give further Bergman kernel proofs of complex geometry results, such as separation of points, existence of local coordinates and holomorphic convexity by sections of positive line bundles.

## 0. INTRODUCTION

Let  $(X, \omega)$  be symplectic manifold of real dimension  $2n$ . Assume that there exists a Hermitian line bundle  $(L, h^L)$  over  $X$  endowed with a Hermitian connection  $\nabla^L$  with the property that

$$(0.1) \quad R^L = -2\pi\sqrt{-1}\omega,$$

where  $R^L = (\nabla^L)^2$  is the curvature of  $(L, \nabla^L)$ . Let  $(E, h^E)$  be a Hermitian vector bundle on  $X$  with Hermitian connection  $\nabla^E$  and its curvature  $R^E$ .

Let  $J$  be an almost complex structure which is compatible with  $\omega$  (i.e.,  $\omega$  is  $J$ -invariant and  $\omega(\cdot, J\cdot)$  defines a metric on  $TX$ ). Let  $g^{TX}$  be a  $J$ -invariant Riemannian metric on  $X$ . Let  $d(x, y)$  be the Riemannian distance on  $(X, g^{TX})$ .

The spin<sup>c</sup> Dirac operator  $D_p$  acts on  $\Omega^{0,\bullet}(X, L^p \otimes E) = \bigoplus_{q=0}^n \Omega^{0,q}(X, L^p \otimes E)$ , the direct sum of spaces of  $(0, q)$ -forms with values in  $L^p \otimes E$ .

We refer to the orthogonal projection  $P_p$  from  $L^2(X, E_p)$ , the space of  $L^2$ -sections of  $E_p := \Lambda^\bullet(T^{*(0,1)}X) \otimes L^p \otimes E$ , onto  $\text{Ker}(D_p)$  as the *Bergman projection* of  $D_p$ . The Schwartz kernel  $P_p(\cdot, \cdot)$  of  $P_p$  with respect to the Riemannian volume form  $dv_X(x')$  of  $(X, g^{TX})$  is called the *Bergman kernel* of  $D_p$ .

**Theorem 0.1.** *Suppose that  $(X, g^{TX})$  is complete and  $R^L, R^E, J, g^{TX}$  have bounded geometry (i.e., they and their derivatives of any order are uniformly bounded on  $X$  in the norm induced by  $g^{TX}, h^E$ , and the injectivity radius of  $(X, g^{TX})$  is positive). Assume also that there exists  $\varepsilon > 0$  such that on  $X$ ,*

$$(0.2) \quad \sqrt{-1}R^L(\cdot, J\cdot) > \varepsilon g^{TX}(\cdot, \cdot).$$

*Then there exist  $c > 0, p_0 > 0$ , which can be determined explicitly from the geometric data (cf. (2.17)) such that for any  $k \in \mathbb{N}$ , there exists  $C_k > 0$  such that for any  $p \geq p_0, x, x' \in X$ , we have*

$$(0.3) \quad |P_p(x, x')|_{\mathcal{C}^k} \leq C_k p^{n+\frac{k}{2}} \exp(-c\sqrt{p}d(x, x')).$$

---

Date: October 14, 2013.

Partially supported by Institut Universitaire de France.

Partially supported by DFG funded projects SFB/TR 12 and MA 2469/2-1.

The pointwise  $\mathcal{C}^k$ -seminorm  $|S(x, x')|_{\mathcal{C}^k}$  of a section  $S \in \mathcal{C}^\infty(X \times X, E_p \boxtimes E_p^*)$  at a point  $(x, x') \in X \times X$  is the sum of the norms induced by  $h^L$ ,  $h^E$  and  $g^{TX}$  of the derivatives up to order  $k$  of  $S$  with respect to the connection induced by  $\nabla^L$ ,  $\nabla^E$  and the Levi-Civita connection  $\nabla^{TX}$  evaluated at  $(x, x')$ .

Assume now  $X = \mathbb{C}^n$  with the standard trivial metric,  $E = \mathbb{C}$  with trivial metric. Assume also  $L = \mathbb{C}$  and  $h^L = e^{-\varphi}$  where  $\varphi : X \rightarrow \mathbb{R}$  is a smooth plurisubharmonic potential such that (0.2) holds. Then the estimate (0.3) with  $k = 0$  was basically obtained by [4] for  $n = 1$ , [7], [10] for  $n \geq 1$  (cf. also [1]). In [6, Theorem 4.18] (cf. [15, Theorem 4.2.9]), a refined version of (0.3), i.e., the asymptotic expansion of  $P_p(x, x')$  for  $p \rightarrow +\infty$  with the exponential estimate was obtained.

When  $(X, J, \omega)$  is a compact Kähler manifold,  $E = \mathbb{C}$  with trivial metric,  $g^{TX} = \omega(\cdot, J\cdot)$  and (0.1) holds, a better estimate than (0.3) with  $k = 0$  and  $d(x, x') > \delta > 0$  was obtained in [5].

Recently, Lu and Zelditch announced in [11, Theorem 2.1] the estimate (0.3) with  $k = 0$  and  $d(x, x') > \delta > 0$  when  $(X, J, \omega)$  is a complete Kähler manifold,  $E = \mathbb{C}$  with trivial metric,  $g^{TX} = \omega(\cdot, J\cdot)$  and (0.1) holds. It is surprising that [11, Theorem 2.1] requires no other condition on the curvatures (cf. Remarks 3.2, 3.9).

Theorem 0.1 was known to the authors for several years, being an adaptation of [6, Theorem 4.18]. The recent papers [5, 11] motivated us to publish our proof.

The next result describes the relation between the Bergman kernel on a Galois covering of a compact symplectic manifold and the Bergman kernel on the base.

**Theorem 0.2.** *Let  $(X, \omega)$  be a compact symplectic manifold. Let  $(L, \nabla^L, h^L)$ ,  $(E, \nabla^E, h^E)$ ,  $J$ ,  $g^{TX}$  be given as above. Consider a Galois covering  $\pi : \tilde{X} \rightarrow X$  and let  $\Gamma$  be the group of deck transformations. Let us decorate with tildes the preimages of objects living on the quotient, e. g.,  $\tilde{L} = \pi^*L$ ,  $\tilde{\omega} = \pi^*\omega$  etc. Let  $\tilde{D}_p$  be the  $\text{spin}^c$  Dirac operator acting on  $\Omega^{0,\bullet}(\tilde{X}, \tilde{L}^p \otimes \tilde{E})$  and  $\tilde{P}_p$  be the orthogonal projection from  $L^2(\tilde{X}, \tilde{E}_p)$  onto  $\text{Ker}(\tilde{D}_p)$ . There exist  $p_1$  which depends only on the geometric data on  $X$ , such that for any  $p > p_1$  we have*

$$(0.4) \quad \sum_{\gamma \in \Gamma} \tilde{P}_p(\gamma x, y) = P_p(\pi(x), \pi(y)), \text{ for any } x, y \in \tilde{X}.$$

The formula (0.4) in the compact Kähler situation and for  $E = \mathbb{C}$  also appeared in [11] by a different method.

We refer to [13, 17] for further applications of the off-diagonal expansion of the Bergman kernel to the Berezin-Toeplitz quantization.

This paper is organized as follows: In Section 1, we explain the spectral gap property of Dirac operators and the elliptic estimate. In Section 2, we establish Theorems 0.1, 0.2. In Section 3, we show what the result becomes in the complex case. We give some applications of Theorem 0.1 and of the diagonal expansion of the Bergman kernel.

## 1. DIRAC OPERATOR AND ELLIPTIC ESTIMATES

The almost complex structure  $J$  induces a splitting  $TX \otimes_{\mathbb{R}} \mathbb{C} = T^{(1,0)}X \oplus T^{(0,1)}X$ , where  $T^{(1,0)}X$  and  $T^{(0,1)}X$  are the eigenbundles of  $J$  corresponding to the eigenvalues  $\sqrt{-1}$  and  $-\sqrt{-1}$  respectively. Let  $T^{*(1,0)}X$  and  $T^{*(0,1)}X$  be the corresponding dual bundles. For any  $v \in TX$  with decomposition  $v = v_{1,0} + v_{0,1} \in T^{(1,0)}X \oplus T^{(0,1)}X$ , let  $v_{1,0}^* \in T^{*(0,1)}X$

be the metric dual of  $v_{1,0}$ . Then  $c(v) = \sqrt{2}(v_{1,0}^* \wedge -i_{v_{0,1}})$  defines the Clifford action of  $v$  on  $\Lambda(T^{*(0,1)}X)$ , where  $\wedge$  and  $i$  denote the exterior and interior product respectively. We denote

$$(1.1) \quad \Lambda^{0,\bullet} = \Lambda^\bullet(T^{*(0,1)}X), \quad E_p := \Lambda^{0,\bullet} \otimes L^p \otimes E.$$

Along the fibers of  $E_p$ , we consider the pointwise Hermitian product  $\langle \cdot, \cdot \rangle$  induced by  $g^{TX}$ ,  $h^L$  and  $h^E$ . Let  $dv_X$  be the Riemannian volume form of  $(TX, g^{TX})$ . The  $L^2$ -Hermitian product on the space  $\Omega_0^{0,\bullet}(X, L^p \otimes E)$  of smooth compactly supported sections of  $E_p$  is given by

$$(1.2) \quad \langle s_1, s_2 \rangle = \int_X \langle s_1(x), s_2(x) \rangle dv_X(x).$$

We denote the corresponding norm with  $\|\cdot\|_{L^2}$  and with  $L^2(X, E_p)$  the completion of  $\Omega_0^{0,\bullet}(X, L^p \otimes E)$  with respect to this norm, and  $\|B\|^{0,0}$  the norm of  $B \in \mathcal{L}(L^2(X, E_p))$  with respect to  $\|\cdot\|_{L^2}$ .

Let  $\nabla^{TX}$  be the Levi-Civita connection of the metric  $g^{TX}$ , and let  $\nabla^{\det_1}$  be the connection on  $\det(T^{(1,0)}X)$  induced by  $\nabla^{TX}$  by projection. By [15, §1.3.1],  $\nabla^{TX}$  (and  $\nabla^{\det_1}$ ) induces canonically a Clifford connection  $\nabla^{\text{Cliff}}$  on  $\Lambda(T^{*(0,1)}X)$ . Let  $\nabla^{E_p}$  be the connection on  $E_p = \Lambda(T^{*(0,1)}X) \otimes L^p \otimes E$  induced by  $\nabla^{\text{Cliff}}$ ,  $\nabla^L$  and  $\nabla^E$ .

We denote by  $\text{Spec}(B)$  the spectrum of an operator  $B$ .

**Definition 1.1.** The spin<sup>c</sup> Dirac operator  $D_p$  is defined by

$$(1.3) \quad D_p = \sum_{j=1}^{2n} c(e_j) \nabla_{e_j}^{E_p} : \Omega_0^{0,\bullet}(X, L^p \otimes E) \longrightarrow \Omega_0^{0,\bullet}(X, L^p \otimes E),$$

with  $\{e_i\}_i$  an orthonormal basis of  $TX$ .

Note that  $D_p$  is a formally self-adjoint, first order elliptic differential operator. Since we are working on a complete manifold  $(X, g^{TX})$ ,  $D_p$  is essentially self-adjoint. This follows e.g., from an easy modification of the Andreotti-Vesentini lemma [15, Lemma 3.3.1] (where the particular case of a complex manifold and the Dirac operator (3.1) is considered). Let us denote by  $D_p$  the self-adjoint extension of  $D_p$  defined initially on the space of smooth compactly supported forms, and by  $\text{Dom}(D_p)$  its domain. By the proof of [14, Theorems 1.1, 2.5] (cf. [15, Theorems 1.5.7, 1.5.8]), we have :

**Lemma 1.2.** *If  $(X, g^{TX})$  is complete and  $\nabla^{TX}J$ ,  $R^{TX}$  and  $R^E$  are uniformly bounded on  $(X, g^{TX})$ , and (0.2) holds, then there exists  $C_L > 0$  such that for any  $p \in \mathbb{N}$ , and any  $s \in \bigoplus_{q \geq 1} \Omega_0^{0,q}(X, L^p \otimes E)$ , we have*

$$(1.4) \quad \|D_p s\|_{L^2}^2 \geq (2p\mu_0 - C_L) \|s\|_{L^2}^2,$$

with

$$(1.5) \quad \mu_0 = \inf_{u \in T_x^{(1,0)}X, x \in X} R_x^L(u, \bar{u}) / |u|_{g^{TX}}^2 > 0.$$

Moreover

$$(1.6) \quad \text{Spec}(D_p^2) \subset \{0\} \cup [2p\mu_0 - C_L, +\infty[.$$

From now on, we assume that  $(X, g^{TX})$  is complete and  $R^L, R^E, J, g^{TX}$  have bounded geometry.

The following elliptic estimate will be applied to get the kernel estimates.

**Lemma 1.3.** *Given a sequence of smooth forms  $s_p \in \bigcap_{\ell \in \mathbb{N}} \text{Dom}(D_p^\ell) \subset L^2(X, E_p)$  and a sequence  $C_p > 0$  ( $p \in \mathbb{N}$ ), assume that for any  $\ell \in \mathbb{N}$ , there exists  $C'_\ell > 0$  such that for any  $p \in \mathbb{N}^*$ ,*

$$(1.7) \quad \left\| \left( \frac{1}{\sqrt{p}} D_p \right)^\ell s_p \right\|_{L^2} \leq C'_\ell C_p.$$

Then for any  $k \in \mathbb{N}$ , there exists  $C_k > 0$  such that for any  $p \in \mathbb{N}^*$  and  $x \in X$  the pointwise  $\mathcal{C}^k$ -seminorm satisfies

$$(1.8) \quad |s_p|_{\mathcal{C}^k}(x) \leq C_k C_p p^{\frac{n+k}{2}}.$$

*Proof.* Let  $\text{inj}^X$  be the injectivity radius of  $(X, g^{TX})$ , and let  $\varepsilon \in ]0, \text{inj}^X[$ . We denote by  $B^X(x, \varepsilon)$  and  $B^{T_x X}(0, \varepsilon)$  the open balls in  $X$  and  $T_x X$  with center  $x \in X$  and radius  $\varepsilon$ , respectively. The exponential map  $T_x X \ni Z \mapsto \exp_x^X(Z) \in X$  is a diffeomorphism from  $B^{T_x X}(0, \varepsilon)$  on  $B^X(x, \varepsilon)$ . From now on, we identify  $B^{T_x X}(0, \varepsilon)$  with  $B^X(x, \varepsilon)$  for  $\varepsilon < \text{inj}^X$ .

For  $x_0 \in X$ , we work in the normal coordinates on  $B^X(x_0, \varepsilon)$ . We identify  $E_Z, L_Z, \Lambda(T_Z^{*(0,1)} X)$  to  $E_{x_0}, L_{x_0}, \Lambda(T_{x_0}^{*(0,1)} X)$  by parallel transport with respect to the connections  $\nabla^E, \nabla^L, \nabla^{\text{Cliff}}$  along the curve  $[0, 1] \ni u \mapsto uZ$ . Thus on  $B^{T_{x_0} X}(0, \varepsilon)$ ,  $(E_p, h^{E_p})$  is identified to the trivial Hermitian bundle  $(E_{p, x_0}, h^{E_{p, x_0}})$ . Let  $\{e_i\}_i$  be an orthonormal basis of  $T_{x_0} X$ . Denote by  $\nabla_U$  the ordinary differentiation operator on  $T_{x_0} X$  in the direction  $U$ . Let  $\Gamma^E, \Gamma^L, \Gamma^{\Lambda^{0,\bullet}}$  be the corresponding connection forms of  $\nabla^E, \nabla^L$  and  $\nabla^{\text{Cliff}}$  with respect to any fixed frame for  $E, L, \Lambda(T^{*(0,1)} X)$  which is parallel along the curve  $[0, 1] \ni u \mapsto uZ$  under the trivialization on  $B^{T_{x_0} X}(0, \varepsilon)$ .

Let  $\{\tilde{e}_i\}_i$  be an orthonormal frame on  $TX$ . On  $B^{T_{x_0} X}(0, \varepsilon)$ , we have

$$(1.9) \quad \begin{aligned} \nabla^{E_{p, x_0}} &= \nabla \cdot + p \Gamma^L(\cdot) + \Gamma^{\Lambda^{0,\bullet}}(\cdot) + \Gamma^E(\cdot), \\ D_p &= c(\tilde{e}_j) \left( \nabla_{\tilde{e}_j} + p \Gamma^L(\tilde{e}_j) + \Gamma^{\Lambda^{0,\bullet}}(\tilde{e}_j) + \Gamma^E(\tilde{e}_j) \right). \end{aligned}$$

By [15, Lemma 1.2.4], for  $\Gamma^\bullet = \Gamma^E, \Gamma^L, \Gamma^{\Lambda^{0,\bullet}}$ , we have

$$(1.10) \quad \Gamma_Z^\bullet(e_j) = \frac{1}{2} R_{x_0}^\bullet(Z, e_j) + \mathcal{O}(|Z|^2).$$

Using an unit vector  $S_L$  of  $L_{x_0}$ , we get an isometry  $E_{p, x_0} \simeq (\Lambda(T^{*(0,1)} X) \otimes E)_{x_0} =: \mathbf{E}_{x_0}$ . For  $s \in \mathcal{C}^\infty(\mathbb{R}^{2n}, \mathbf{E}_{x_0})$ ,  $Z \in \mathbb{R}^{2n}$  and  $t = \frac{1}{\sqrt{p}}$ , set

$$(1.11) \quad \begin{aligned} (S_t s)(Z) &= s(Z/t), \quad \nabla_t = S_t^{-1} t \nabla^{E_{p, x_0}} S_t, \\ \mathbf{D}_t &= S_t^{-1} t D_p S_t. \end{aligned}$$

Let  $f : \mathbb{R} \rightarrow [0, 1]$  be a smooth even function such that

$$(1.12) \quad f(v) = \begin{cases} 1 & \text{for } |v| \leq \varepsilon/2, \\ 0 & \text{for } |v| \geq \varepsilon. \end{cases}$$

Set

$$(1.13) \quad \sigma_p(Z) = s_p(Z)f(|Z|/t), \quad \tilde{\sigma}_p = S_t^{-1}\sigma_p \in \mathcal{C}_0^\infty(\mathbb{R}^{2n}, \mathbf{E}_{x_0}).$$

Then by (1.11) and (1.13), we have

$$(1.14) \quad \tilde{\sigma}_p(Z) = s_p(tZ)f(|Z|),$$

$$(\mathbf{D}_t^l \tilde{\sigma}_p)(Z) = \sum_{j=0}^l \binom{l}{j} \underbrace{[D_p, \dots, [D_p, f(|\cdot|)] \dots]_{(l-j) \text{ times}}}(Z) (\mathbf{D}_t^j S_t^{-1} s_p)(Z).$$

By (1.9),  $[D_p, \dots, [D_p, f(|\cdot|)] \dots]$  is uniformly bounded on  $B^{T_{x_0}X}(0, \varepsilon)$ , with respect to  $x_0 \in X$ ,  $p \in \mathbb{N}$ , and  $(\mathbf{D}_t^j S_t^{-1} s_p)(Z) = \left( \left( \frac{1}{\sqrt{p}} D_p \right)^j s_p \right)(tZ)$ . Thus (1.7), (1.9) and (1.14) imply

$$(1.15) \quad \int_{|Z| < \varepsilon} |\mathbf{D}_t^l \tilde{\sigma}_p(Z)|^2 dZ \leq C_0 p^n \int_{|Z| < \varepsilon / \sqrt{p}} \sum_{j=0}^l \left| \left( \frac{1}{\sqrt{p}} D_p \right)^j s_p(Z) \right|^2 dZ$$

$$\leq C_1 \sum_{j=0}^l (C'_j C_p)^2 p^n.$$

Now by (1.9), (1.10) and (1.11), we get

$$(1.16) \quad \nabla_t = \nabla_0 + \mathcal{O}(t), \quad \mathbf{D}_t = \mathbf{D}_0 + \mathcal{O}(t),$$

where  $\mathbf{D}_0$  is an elliptic operator on  $\mathbb{R}^{2n}$ . On  $\mathbb{R}^{2n}$ , we use the usual  $\mathcal{C}^k$ -seminorm, i.e.,  $|f|_{\mathcal{C}^k}(x) := \sum_{l \leq k} |\nabla_{e_{i_1}} \dots \nabla_{e_{i_l}} f|(x)$ . By the Sobolev embedding theorem (cf. [15, Theorem A.1.6]), (1.15), (1.16) and our assumption on bounded geometry, we obtain that for any  $k \in \mathbb{N}$ , there exists  $C_k > 0$  such that for any  $x_0 \in X$ ,  $p \in \mathbb{N}^*$ , we have

$$(1.17) \quad |\tilde{\sigma}_p|_{\mathcal{C}^k}^2(0) \leq C_k C_p p^{n/2}.$$

Going back to the original coordinates (before rescaling), we get

$$(1.18) \quad |\sigma_p|_{\mathcal{C}^k}^2(x_0) \leq C_k C_p p^{\frac{n+k}{2}}.$$

The proof of Lemma 1.3 is completed.  $\square$

## 2. PROOFS OF THEOREMS 0.1 AND 0.2

For  $x, x' \in X$  let  $\exp\left(-\frac{u}{p} D_p^2\right)(x, x')$ ,  $\left(\frac{1}{p} D_p^2 \exp\left(-\frac{u}{p} D_p^2\right)\right)(x, x')$  be the smooth kernels of the operators  $\exp\left(-\frac{u}{p} D_p^2\right)$ ,  $\frac{1}{p} D_p^2 \exp\left(-\frac{u}{p} D_p^2\right)$  with respect to  $dv_X(x')$ .

**Theorem 2.1.** *There exists  $\alpha > 0$  such that for any  $m \in \mathbb{N}$ ,  $u_0 > 0$ , there exists  $C > 0$  such that for  $u \geq u_0$ ,  $p \in \mathbb{N}^*$ ,  $x, x' \in X$ , we have*

$$(2.1) \quad \left| \exp\left(-\frac{u}{p} D_p^2\right)(x, x') \right|_{\mathcal{C}^m} \leq C p^{n+\frac{m}{2}} \exp\left(\mu_0 u - \frac{\alpha p}{u} d(x, x')^2\right),$$

and that for  $u \geq u_0$ ,  $p \geq 2C_L/\mu_0$ ,  $x, x' \in X$ , we have

$$(2.2) \quad \left| \left( \frac{1}{p} D_p^2 \exp\left(-\frac{u}{p} D_p^2\right) \right)(x, x') \right|_{\mathcal{C}^m} \leq C p^{n+\frac{m}{2}} \exp\left(-\frac{1}{2} \mu_0 u - \frac{\alpha p}{u} d(x, x')^2\right).$$

*Proof.* For any  $u_0 > 0$ ,  $k \in \mathbb{N}$ , there exists  $C_{u_0,k} > 0$  such that for  $u \geq u_0$ ,  $p \in \mathbb{N}^*$ , we have

$$(2.3) \quad \left\| \left( \frac{1}{\sqrt{p}} D_p \right)^k \exp \left( -\frac{u}{p} D_p^2 \right) \right\|^{0,0} \leq C_{u_0,k},$$

and by Lemma 1.2, that for  $u \geq u_0$ ,  $p \geq 2C_L/\mu_0$ , we have

$$(2.4) \quad \left\| \left( \frac{1}{\sqrt{p}} D_p \right)^k \frac{1}{p} D_p^2 \exp \left( -\frac{u}{p} D_p^2 \right) \right\|^{0,0} \leq C_{u_0,k} e^{-\mu_0 u}.$$

From Lemma 1.3, (2.3) and (2.4), for any  $u_0 > 0$ ,  $m \in \mathbb{N}$ , there exists  $C'_{u_0,m} > 0$  such that for  $u \geq u_0$ ,  $p \in \mathbb{N}^*$ ,  $x, x' \in X$ , we have

$$(2.5) \quad \left| \exp \left( -\frac{u}{p} D_p^2 \right) (x, x') \right|_{\mathcal{C}^m} \leq C'_{u_0,m} p^{n+\frac{m}{2}},$$

and that for  $u \geq u_0$ ,  $p \geq 2C_L/\mu_0$ ,  $x, x' \in X$ , we have

$$(2.6) \quad \left| \left( \frac{1}{p} D_p^2 \exp \left( -\frac{u}{p} D_p^2 \right) \right) (x, x') \right|_{\mathcal{C}^m} \leq C'_{u_0,m} p^{n+\frac{m}{2}} e^{-\mu_0 u}.$$

To obtain (2.1) and (2.2) in general, we proceed as in the proof of [6, Theorem 4.11] and [3, Theorem 11.14] (cf. [15, Theorem 4.2.5]). For  $h > 1$  and  $f$  from (1.12), put

$$(2.7) \quad \begin{aligned} K_{u,h}(a) &= \int_{-\infty}^{+\infty} \cos(iv\sqrt{2u}a) \exp \left( -\frac{v^2}{2} \right) \left( 1 - f \left( \frac{1}{h} \sqrt{2u}v \right) \right) \frac{dv}{\sqrt{2\pi}}, \\ H_{u,h}(a) &= \int_{-\infty}^{+\infty} \cos(iv\sqrt{2u}a) \exp \left( -\frac{v^2}{2} \right) f \left( \frac{1}{h} \sqrt{2u}v \right) \frac{dv}{\sqrt{2\pi}}. \end{aligned}$$

By (2.7), we infer

$$(2.8) \quad K_{u,h} \left( \frac{1}{\sqrt{p}} D_p \right) + H_{u,h} \left( \frac{1}{\sqrt{p}} D_p \right) = \exp \left( -\frac{u}{p} D_p^2 \right).$$

Using finite propagation speed of solutions of hyperbolic equations [15, Theorem D.2.1], and (2.7), we find that

$$(2.9) \quad \begin{aligned} \text{supp } H_{u,h} \left( \frac{1}{\sqrt{p}} D_p \right) (x, \cdot) &\subset B^X(x, \varepsilon h / \sqrt{p}), \text{ and} \\ H_{u,h} \left( \frac{1}{\sqrt{p}} D_p \right) (x, \cdot) &\text{ depends only on the restriction of } D_p \text{ to } B^X(x, \varepsilon h / \sqrt{p}). \end{aligned}$$

Thus from (2.8) and (2.9), we get for  $x, x' \in X$ ,

$$(2.10) \quad K_{u,h} \left( \frac{1}{\sqrt{p}} D_p \right) (x, x') = \exp \left( -\frac{u}{p} D_p^2 \right) (x, x'), \quad \text{if } \sqrt{p} d(x, x') \geq \varepsilon h.$$

By (2.7), there exist  $C', C_1 > 0$  such that for any  $c > 0$ ,  $m \in \mathbb{N}$ , there is  $C > 0$  such that for  $u \geq u_0$ ,  $h > 1$ ,  $a \in \mathbb{C}$ ,  $|\text{Im}(a)| \leq c$ , we have

$$(2.11) \quad |a|^m |K_{u,h}(a)| \leq C \exp \left( C' c^2 u - \frac{C_1}{u} h^2 \right).$$

Using Lemma 1.3 and (2.11) we find that for  $\mathbf{K}(a) = K_{u,h}(a)$  or  $a^2 K_{u,h}(a)$ ,

$$(2.12) \quad \left| \mathbf{K} \left( \frac{1}{\sqrt{p}} D_p \right) (x, x') \right|_{\mathcal{C}^m} \leq C_2 p^{n+\frac{m}{2}} \exp \left( C' c^2 u - \frac{C_1}{u} h^2 \right).$$

Setting  $h = \sqrt{p}d(x, x')/\varepsilon$  in (2.12), we get that for any  $x, x' \in X$ ,  $p \in \mathbb{N}^*$ ,  $u \geq u_0$ , such that  $\sqrt{p}d(x, x') \geq \varepsilon$ , we have

$$(2.13) \quad \left| \mathbf{K} \left( \frac{1}{\sqrt{p}} D_p \right) (x, x') \right|_{\mathcal{C}^m} \leq C p^{n+\frac{m}{2}} \exp \left( C' c^2 u - \frac{C_1}{\varepsilon^2 u} p d(x, x')^2 \right).$$

By (2.5), (2.10) and (2.13), we infer (2.1). By (2.6), (2.10) and (2.13), we infer (2.2). The proof of Theorem 2.1 is completed.  $\square$

*Proof of Theorem 0.1.* Analogue to [6, (4.89)] (or [15, (4.2.22)]), we have

$$(2.14) \quad \exp \left( -\frac{u}{p} D_p^2 \right) - P_p = \int_u^{+\infty} \frac{1}{p} D_p^2 \exp \left( -\frac{u_1}{p} D_p^2 \right) du_1.$$

Note that  $\frac{1}{4}\mu_0 u + \frac{a}{u} p d(x, x')^2 \geq \sqrt{a\mu_0 p} d(x, x')$ , thus

$$(2.15) \quad \begin{aligned} & \int_u^{+\infty} \exp \left( -\frac{1}{2} \mu_0 u_1 - \frac{a}{u_1} p d(x, x')^2 \right) du_1 \\ & \leq \exp(-\sqrt{a\mu_0 p} d(x, x')) \int_u^{+\infty} \exp \left( -\frac{1}{4} \mu_0 u_1 \right) du_1 \\ & = \frac{4}{\mu_0} \exp \left( -\frac{1}{4} \mu_0 u - \sqrt{a\mu_0 p} d(x, x') \right). \end{aligned}$$

By (2.2), (2.14) and (2.15), there exists  $C > 0$  such that for  $u \geq u_0$ ,  $p \geq 2C_L/\mu_0$ ,  $x, x' \in X$ , we have

$$(2.16) \quad \left| \left( \exp \left( -\frac{u}{p} D_p^2 \right) - P_p \right) (x, x') \right|_{\mathcal{C}^m} \leq C p^{n+\frac{m}{2}} \exp \left( -\frac{1}{4} \mu_0 u - \sqrt{a\mu_0 p} d(x, x') \right).$$

By (2.1) and (2.16), we get (0.3) with

$$(2.17) \quad p_0 = 2C_L/\mu_0, \quad c = \sqrt{a\mu_0}.$$

The proof of Theorem 0.1 is completed.  $\square$

**Remark 2.2.** Let  $A \in \Omega^3(X)$ . We assume  $A$  and its derivatives are bounded on  $X$ . Set

$$(2.18) \quad {}^c A = \sum_{i < j < k} A(e_i, e_j, e_k) c(e_i) c(e_j) c(e_k), \quad D_p^A := D_p + {}^c A.$$

Then  $D_p^A$  is a modified Dirac operator (cf. [15, §1.3.3], [2]). As we are in the bounded geometry context, Lemmas 1.2, 1.3 still hold if we replace  $D_p$  by  $D_p^A$ . This implies that Theorem 2.1 holds for  $D_p^A$ , thus Theorem 0.1 holds for the orthogonal projection from  $L^2(X, E_p)$  onto  $\text{Ker}(D_p^A)$ .

*Proof of Theorem 0.2.* It is standard that for any  $p \in \mathbb{N}^*$ ,  $u > 0$ , and  $x, y \in \tilde{X}$ ,

$$(2.19) \quad \exp \left( -u D_p^2 \right) (\pi(x), \pi(y)) = \sum_{\gamma \in \Gamma} \exp \left( -u \tilde{D}_p^2 \right) (\gamma x, y).$$

Let us denote for  $r > 0$  by  $N(r) = \# B^{\tilde{X}}(x, r) \cap \Gamma y$ . Let  $K > 0$  be such that the sectional curvature of  $(X, g^{TX})$  is  $\geq -K^2$ . By [18], there exists  $C > 0$  such that for any  $r > 0$ ,  $x, y \in \tilde{X}$ , we have

$$(2.20) \quad N(r) \leq C e^{(2n-1)Kr}.$$



(Note that in the proof of (2.1), we did not use Lemma 1.2. From (2.1) and (2.20), we know the right hand side of (2.19) is absolutely convergent, and verifies the heat equation on  $X$ , thus we also get a proof of (2.19)).

Take  $\mathbf{p}_1 = \max\{\mathbf{p}_0, (2n-1)^2 K^2/c^2\}$ . Then for any  $p > \mathbf{p}_1$ , by Theorem 2.1, (2.15) and (2.20), we know that

$$(2.21) \quad \sum_{\gamma \in \Gamma} \int_u^{+\infty} \left( \frac{1}{p} \tilde{D}_p^2 \exp\left(-\frac{u_1}{p} \tilde{D}_p^2\right) \right) (\gamma x, y) du_1 \\ = \int_u^{+\infty} \sum_{\gamma \in \Gamma} \left( \frac{1}{p} \tilde{D}_p^2 \exp\left(-\frac{u_1}{p} \tilde{D}_p^2\right) \right) (\gamma x, y) du_1.$$

Moreover, (0.4) and (2.20) show that  $\sum_{\gamma \in \Gamma} \tilde{P}_p(\gamma x, y)$  is absolutely convergent for any  $p > \mathbf{p}_1$ . From Theorem 2.1, (2.14) for  $\tilde{D}_p$ , (2.19) and (2.21), we get

$$(2.22) \quad \exp\left(-\frac{u}{p} D_p^2\right) (\pi(x), \pi(y)) - \sum_{\gamma \in \Gamma} \tilde{P}_p(\gamma x, y) \\ = \int_u^{+\infty} \left( \frac{1}{p} D_p^2 \exp\left(-\frac{u_1}{p} D_p^2\right) \right) (\pi(x), \pi(y)) du_1.$$

Now from (2.14) for  $D_p$  and (2.22), we obtain (0.4).  $\square$

### 3. THE HOLOMORPHIC CASE

We discuss now the particular case of a complex manifold, cf. the situation of [15, §6.1.1]. Let  $(X, J)$  be a complex manifold with complex structure  $J$  and complex dimension  $n$ . Let  $g^{TX}$  be a Riemannian metric on  $TX$  compatible with  $J$ , and let  $\Theta = g^{TX}(J\cdot, \cdot)$  be the  $(1, 1)$ -form associated to  $g^{TX}$  and  $J$ . We call  $(X, J, g^{TX})$  or  $(X, J, \Theta)$  a Hermitian manifold. A Hermitian manifold  $(X, J, g^{TX})$  is called complete if  $g^{TX}$  is complete. Moreover let  $(L, h^L), (E, h^E)$  be holomorphic Hermitian vector bundles on  $X$  and  $\text{rank}(L) = 1$ . Consider the holomorphic Hermitian (Chern) connections  $\nabla^L, \nabla^E$  on  $(L, h^L), (E, h^E)$ .

This section is organized as follows. In Section 3.1, we explain Theorem 0.1 in the holomorphic case. In Section 3.2, we give some Bergman kernel proofs of some known results about separation of points, existence of local coordinates and holomorphic convexity. The usual proofs use the  $L^2$  estimates for the  $\bar{\partial}$ -equation introduced by Andreotti-Vesentini and Hörmander. For plenty of informations about holomorphic convexity of coverings (Shafarevich conjecture) and its role in algebraic geometry see [12].

**3.1. Theorem 0.1 in the holomorphic case.** The space of holomorphic sections of  $L^p \otimes E$  which are  $L^2$  with respect to the norm given by (1.2) is denoted by  $H_{(2)}^0(X, L^p \otimes E)$ . Let  $P_p(x, x')$ ,  $(x, x' \in X)$  be the Schwartz kernel of the orthogonal projection  $P_p$ , from the space of  $L^2$ -sections of  $L^p \otimes E$  onto  $H_{(2)}^0(X, L^p \otimes E)$ , with respect to the Riemannian volume form  $dv_X(x')$  associated to  $(X, g^{TX})$ .

**Theorem 3.1.** *Let  $(X, J, g^{TX})$  be a complete Hermitian manifold. Assume that  $R^L, R^E, J, g^{TX}$  have bounded geometry and (0.2) holds. Then the uniform exponential estimate (0.3) holds for the Bergman kernel  $P_p(x, x')$  associated to  $H_{(2)}^0(X, L^p \otimes E)$ .*

*Proof.* Let  $\bar{\partial}^{L^p \otimes E, *}$  be the formal adjoint of the Dolbeault operator  $\bar{\partial}^{L^p \otimes E}$  with respect to the Hermitian product (1.2) on  $\Omega^{0, \bullet}(X, L^p \otimes E)$ . Set

$$(3.1) \quad D_p = \sqrt{2} \left( \bar{\partial}^{L^p \otimes E} + \bar{\partial}^{L^p \otimes E, *} \right).$$

Using the assumption of the bounded geometry, we have by [15, (6.1.8)] for  $p$  large enough,

$$(3.2) \quad \text{Ker}(D_p) = \text{Ker}(D_p^2) = H_{(2)}^0(X, L^p \otimes E).$$

Observe that  $D_p$  is a modified Dirac operator as in Remark 2.2 with  $A = \frac{\sqrt{-1}}{4}(\partial - \bar{\partial})\Theta$ , see [2, Theorem 2.2] (cf. [15, Theorem 1.4.5]). In particular, if  $(X, J, g^{TX})$  is a complete Kähler manifold, then the operator  $D_p$  from (3.1) is a Dirac operator in the sense of Section 2.

Thus under the assumption of the bounded geometry, Theorem 0.1 still holds for the kernel  $P_p(x, x')$  in this context.  $\square$

**Remark 3.2.** Let  $(X, J, g^{TX})$  be a complete Hermitian manifold. We assume now that

$$(3.3) \quad R^L = -2\pi\sqrt{-1}\Theta.$$

Then  $(X, J, \omega)$  is a complete Kähler manifold,  $g^{TX} = \omega(\cdot, J\cdot)$  and (0.1) holds. To get the spectral gap property (Lemma 1.2), or even the Hörmander  $L^2$ -estimates, as in [15, Theorem 6.1.1], we need to suppose that there exists  $C > 0$  such that on  $X$ ,

$$(3.4) \quad \sqrt{-1}(R^{\det} + R^E) > -C\omega \text{Id}_E,$$

with  $R^{\det}$  the curvature of the holomorphic Hermitian connection  $\nabla^{\det}$  on  $K_X^* = \det(T^{(1,0)}X)$ .

**3.2. Holomorphic convexity of manifolds with bounded geometry.** We will identify the 2-form  $R^L$  with the Hermitian matrix  $\dot{R}^L \in \text{End}(T^{(1,0)}X)$  such that for  $W, Y \in T^{(1,0)}X$ ,

$$(3.5) \quad R^L(W, \bar{Y}) = \langle \dot{R}^L W, \bar{Y} \rangle.$$

Analogous to the result of Theorem 0.1 about the uniform off-diagonal decay of the Bergman kernel, a straightforward adaptation of the technique used in this paper yields the uniform diagonal expansion for manifolds and bundles with bounded geometry (cf. [15, Theorem 4.1.1] for the compact case, [15, Theorems 6.1.1, 6.1.4] for other cases of non-compact manifolds including the covering manifolds). This was already observed in [15, Problem 6.1]. The following theorem allows to construct uniform peak sections of the powers  $L^p$  for  $p$  sufficiently large.

**Theorem 3.3.** *Let  $(X, J, g^{TX})$  be a complete Hermitian manifold. Assume that  $R^L, R^E, J, g^{TX}$  have bounded geometry and (0.2) holds. Then there exist smooth coefficients  $\mathbf{b}_r(x) \in \text{End}(E)_x$  which are polynomials in  $R^{TX}, R^E$  (and  $d\Theta, R^L$ ) and their derivatives with order  $\leq 2r - 2$  (resp.  $2r - 1, 2r$ ) and reciprocals of linear combinations of eigenvalues of  $\dot{R}^L$  at  $x$ , and*

$$(3.6) \quad \mathbf{b}_0 = \det(\dot{R}^L / (2\pi)) \text{Id}_E,$$

such that for any  $k, \ell \in \mathbb{N}$ , there exists  $C_{k,\ell} > 0$  such that for any  $p \in \mathbb{N}^*$ ,

$$(3.7) \quad \left| P_p(x, x) - \sum_{r=0}^k \mathbf{b}_r(x) p^{n-r} \right|_{\mathcal{C}^\ell(X)} \leq C_{k,\ell} p^{n-k-1}.$$

Moreover, the expansion is uniform in the following sense: for any fixed  $k, \ell \in \mathbb{N}$ , assume that the derivatives of  $g^{TX}$ ,  $h^L$ ,  $h^E$  with order  $\leq 2n + 2k + \ell + 6$  run over a set bounded in the  $\mathcal{C}^\ell$ -norm taken with respect to the parameter  $x \in X$  and, moreover,  $g^{TX}$  runs over a set bounded below, then the constant  $C_{k,\ell}$  is independent of  $g^{TX}$ ; and the  $\mathcal{C}^\ell$ -norm in (3.7) includes also the derivatives with respect to the parameters.

We will actually make use in the following only of the case  $\ell = 0$  from Theorem 3.3.

**Theorem 3.4.** *Let  $(X, J, g^{TX})$  be a complete Hermitian manifold. Assume that  $R^L, R^E, J, g^{TX}$  have bounded geometry and (0.2) holds. Then there exists  $p_0 \in \mathbb{N}$  such that for all  $p \geq p_0$ , the bundle  $L^p \otimes E$  is generated by its global holomorphic  $L^2$ -sections.*

*Proof.* By Theorem 3.3,

$$(3.8) \quad P_p(x, x) = \mathbf{b}_0(x) p^n + O(p^{n-1}), \quad \text{uniformly on } X,$$

where  $\mathbf{b}_0 = \det(\dot{R}^L/2\pi) \text{Id}_E$ . Due to (0.2), the function  $\det(\dot{R}^L/2\pi)$  is bounded below by a positive constant. Hence (3.8) implies that there exists  $p_0 \in \mathbb{N}$  such that for all  $p \geq p_0$  and all  $x \in X$  the endomorphism  $P_p(x, x) \in \text{End}(E_x)$  is invertible. In particular, for any  $v \in L_x^p \otimes E_x$  there exists  $S = S(x, v) \in H_{(2)}^0(X, L^p \otimes E)$  with  $S(x) = v$ .  $\square$

We can apply Theorem 3.4 to the following situation. Let  $h_p$  be a Hermitian metric on  $L^p$ . Let  $\pi : \tilde{X} \rightarrow X$  be a Galois covering and consider

$$(3.9) \quad \tilde{\Theta} = \pi^* \Theta, \quad dv_{\tilde{X}} = \tilde{\Theta}^n/n!, \quad (\tilde{L}^p, \tilde{h}_p) = (\pi^* L^p, \pi^* h_p), \quad (\tilde{E}, h^{\tilde{E}}) = (\pi^* E, \pi^* h^E),$$

and let  $L^2(\tilde{X}, \tilde{L}^p \otimes \tilde{E})$  be the  $L^2$ -space of sections of  $\tilde{L}^p \otimes \tilde{E}$  with respect to  $\tilde{h}_p, h^{\tilde{E}}, dv_{\tilde{X}}$ . The space of global holomorphic  $L^2$ -sections is defined by

$$(3.10) \quad H_{(2)}^0(\tilde{X}, \tilde{L}^p \otimes \tilde{E}) = \{S \in L^2(\tilde{X}, \tilde{L}^p \otimes \tilde{E}) : \bar{\partial} S = 0\}.$$

**Corollary 3.5.** *Let  $(X, \Theta)$  be a compact Hermitian manifold,  $L$  be a positive line bundle over  $X$ . Then there exists  $p_0 \in \mathbb{N}$  such that for all Galois covering  $\pi : \tilde{X} \rightarrow X$ , for all  $p \geq p_0$ , and all Hermitian metrics  $h_p, h^E$  on  $L^p, E$ , the bundle  $\tilde{L}^p \otimes \tilde{E}$  is generated by its global holomorphic  $L^2$ -sections.*

*Proof.* Indeed,  $(\tilde{X}, g^{T\tilde{X}})$  is complete and  $R^{\tilde{L}}, R^{\tilde{E}}, \tilde{J}, g^{T\tilde{X}}$  have bounded geometry. Thus the conclusion follows immediately from Theorem 3.4 for metrics  $h_p$  of the form  $(h^L)^p$ , where  $h^L$  is a positively curved metric on  $L$ . That  $p_0$  is independent of the covering  $\pi : \tilde{X} \rightarrow X$  follows from the dependency conditions of  $p_0$  in Theorem 3.4. Observe finally that the  $L^2$  condition is independent of the Hermitian metric  $h_p, h^E$  chosen on  $L^p, E$  over the compact manifold  $X$ .  $\square$

Note that instead of using Theorem 3.4 we could have also concluded by using [15, Theorem 6.1.4]. The latter shows that, roughly speaking, the asymptotics of the Bergman kernel on the base manifold and on the covering are the same. Note also that by Theorem

3.4 or [15, Theorem 6.1.4] we obtain an estimate from below of the von Neumann dimension of the space of  $L^2$  holomorphic sections (cf. [15, Remark 6.1.5]):

$$(3.11) \quad \dim_{\Gamma} H_{(2)}^0(\tilde{X}, \tilde{L}^p \otimes \tilde{E}) \geq \frac{p^n}{n!} \int_X \left( \frac{\sqrt{-1}}{2\pi} R^L \right)^n + o(p^n), \quad p \rightarrow \infty.$$

This was used in [21] and [15, §6.4] to obtain weak Lefschetz theorems, extending results from [20].

The following definition was introduced in [19, Definition 4.1] for line bundles.

**Definition 3.6.** Suppose  $X$  is a complex manifold,  $(F, h^F) \rightarrow X$  is a Hermitian holomorphic vector bundle. The manifold  $X$  is called *holomorphically convex with respect to*  $(F, h^F)$  if, for every infinite subset  $S$  without limit points in  $X$ , there is a holomorphic section  $S$  of  $F$  on  $X$  such that  $|S|_{h^F}$  is unbounded on  $S$ . The manifold  $X$  is called *holomorphically convex* if it is holomorphically convex with respect to the trivial line bundle.

Since it suffices to consider any infinite subset of  $S$ , in order to prove the holomorphic convexity we may assume that  $S$  is actually equal to a sequence of points  $\{x_i\}_{i \in \mathbb{N}}$  without limit points in  $X$ .

**Theorem 3.7.** Let  $(X, J, g^{TX})$  be a complete Hermitian manifold. Assume that  $R^L, R^E, J, g^{TX}$  have bounded geometry and (0.2) holds. Then there exists  $p_0 \in \mathbb{N}$  such that for all  $p \geq p_0$ ,  $X$  is holomorphically convex with respect to the bundle  $L^p \otimes E$ .

*Proof.* If  $X$  is compact the assertion is trivial. We assume in the sequel that  $X$  is non-compact. We use the following lemma.

**Lemma 3.8.** There exists  $p_0 \in \mathbb{N}$  such that for all  $p \geq p_0$ , for any compact set  $K \subset X$  and any  $\varepsilon, M > 0$  there exists a compact set  $K(\varepsilon, M) \subset X$  with the property that for any  $x \in X \setminus K(\varepsilon, M)$  there exists  $S \in H_{(2)}^0(X, L^p \otimes E)$  with  $|S(x)| \geq M$  and  $|S| \leq \varepsilon$  on  $K$ .

*Proof.* Let  $p_0 \in \mathbb{N}$  be as in the conclusion of Theorem 3.4. For any  $x \in X$ ,  $w \in L_x^p \otimes E_x$ , consider the peak section

$$(3.12) \quad S \in H_{(2)}^0(X, L^p \otimes E), \quad y \mapsto P_p(y, x) \cdot w.$$

Since  $P_p(x, x)$  is invertible, we can find for any given  $v \in L_x^p \otimes E_x$  a peak section  $S_{x,v}$  as in (3.12) such that  $S_{x,v}(x) = v$ . Thus for any  $x \in X$  there exists  $v(x) \in L_x^p \otimes E_x$  such that  $|S_{x,v(x)}(x)| \geq M$ . By (0.3), for any fixed  $0 < r < \text{inj}^X$ ,  $S_{x,v(x)}$  has exponential decay outside the ball  $B(x, r)$ , uniformly in  $x \in X$ . We can now choose  $\delta > 0$ , such that for any  $x \in X$  with  $d(x, K) > \delta$  we have  $|S_{x,v(x)}(y)| \leq \varepsilon$  for  $y \in K$ . We set finally

$$(3.13) \quad K(\varepsilon, M) = \{z \in X : d(z, K) \leq \delta\}.$$

The proof of Lemma 3.8 is completed.  $\square$

In order to finish the proof of Theorem 3.7, let us choose an exhaustion  $\{K_i\}_{i \in \mathbb{N}}$  of  $X$  with compact sets, i.e.,  $K_i \subset \overset{\circ}{K}_{i+1}$  and  $X = \bigcup_{i \in \mathbb{N}} K_i$ . Consider a sequence  $\{x_i\}_{i \in \mathbb{N}}$  in  $X$  without limit points. Using Lemma 3.8 we construct inductively a sequence of holomorphic sections  $\{S_i\}_{i \in \mathbb{N}}$  and a subsequence  $\{\nu(i)\}_{i \in \mathbb{N}}$  of  $\mathbb{N}$  such that

$$(3.14) \quad |S_i| \leq 2^{-i} \text{ on } K_i \text{ and } |S_i(x_{\nu(i)})| \geq 2^i + \sum_{j < i} |S_j(x_{\nu(i)})|,$$

where  $\nu(i)$  is the smallest index  $j$  such that  $x_j \in X \setminus K_i(2^{-i}, 2^i + \sum_{j < i} |S_j(x_{\nu(i)})|)$ . Then  $S = \sum_{i \in \mathbb{N}} S_i$  converges uniformly on any compact set of  $X$ , hence defines a holomorphic section of  $L^p \otimes E$  on  $X$ , and satisfies  $|S(x_{\nu(i)})| \rightarrow \infty$  as  $i \rightarrow \infty$ .  $\square$

**Remark 3.9.** (a) Napier [19, Theorem 4.2] proves a similar result for a complete Kähler manifold with bounded geometry and for  $(E, h^E)$  trivial. His notion of bounded geometry is weaker than that used in the present paper (cf. [19, Definition 3.1]), so he first concludes the holomorphic convexity for the adjoint bundle  $L^p \otimes K_X$  (twisting with  $K_X$  is necessary for the application of the  $L^2$  method for solving the  $\bar{\partial}$ -equation, due to Andreotti-Vesentini and Hörmander, see e. g., [8, Théorème 5.1], [15, Theorem B.4.6]). If the Ricci curvature of  $g^{TX}$  is bounded from below, then [19, Theorem 4.2 (ii)] shows that  $X$  is holomorphically convex with respect to  $L^p$ , for  $p$  sufficiently large. Note that our notion of bounded geometry implies that the Ricci curvature of  $g^{TX}$  is bounded from below.

(b) In the conditions of Theorem 3.7 there exists  $p_0 \in \mathbb{N}$  such that for all  $p \geq p_0$  we have:

- (i)  $H_{(2)}^0(X, L^p \otimes E)$  separate points of  $X$ , i. e., for any  $x, y \in X$ ,  $x \neq y$ , there exists  $S \in H_{(2)}^0(X, L^p \otimes E)$  with  $S(x) = 0$ ,  $S(y) \neq 0$ .
- (ii)  $H_{(2)}^0(X, L^p \otimes E)$  gives local coordinates on  $X$ , i. e., for any  $x \in X$  there exist  $S_0, S_1, \dots, S_n \in H_{(2)}^0(X, L^p \otimes E)$  such that  $S_0(x) \neq 0$  and  $S_1/S_0, \dots, S_n/S_0$  form a set of holomorphic coordinates around  $x$ .

The items (i) and (ii) follow from the  $L^2$  estimates for  $\bar{\partial}$  for singular metrics by using similar arguments as in [8], [19]. We show next how they follow also from the asymptotics of the Bergman kernel.

**Proposition 3.10.** *Let  $(X, J, g^{TX})$  be a complete Kähler manifold. Assume also that there exist  $\varepsilon, C > 0$  such that on  $X$ ,*

$$(3.15) \quad \sqrt{-1}R^L(\cdot, J\cdot) \geq \varepsilon g^{TX}(\cdot, \cdot), \quad \sqrt{-1}(R^{\det} + R^E) > -C\omega \text{Id}_E.$$

*Then for any compact set  $K \subset X$  there exists  $p_0 = p_0(K) \in \mathbb{N}$  such that for all  $p \geq p_0$ , the sections of  $H_{(2)}^0(X, L^p \otimes E)$  separate points and give local coordinates on  $K$ .*

*Proof.* For  $x \in X$ , we construct as in [15, §6.2] the generalized Poincaré metric. Consider the blow-up  $\alpha : \hat{X} \rightarrow X$  with center  $x$  and denote by  $D \subset \hat{X}$  the exceptional divisor. By [15, Proposition 2.1.11 (a)], there exists a smooth Hermitian metric  $h_0$  on the line bundle  $\mathcal{O}(-D)$  whose curvature  $R^{(\mathcal{O}(-D), h_0)}$  is strictly positive along  $D$ , and vanishes outside a compact neighborhood of  $D$ . We consider on  $\hat{X}$  the complete Kähler metric

$$(3.16) \quad \Theta' = \alpha^*\omega + \eta\sqrt{-1}R^{(\mathcal{O}(-D), h_0)}, \quad 0 < \eta \ll 1.$$

The generalized Poincaré metric on  $X \setminus \{x\} = \hat{X} \setminus D$  is defined by the Hermitian form

$$(3.17) \quad \Theta_{\varepsilon_0} = \Theta' + \varepsilon_0\sqrt{-1}\bar{\partial}\partial \log((-\log \|\sigma\|^2)^2), \quad 0 < \varepsilon_0 \ll 1 \text{ fixed},$$

where  $\sigma$  is a holomorphic section of the associated holomorphic line bundle  $\mathcal{O}(D)$  which vanish to first order on  $D$ , and  $\|\sigma\|$  is the norm for a smooth Hermitian metric  $\|\cdot\|$  on  $\mathcal{O}(D)$  such that  $\|\sigma\| < 1$ . By [15, Lemma 6.2.1] the generalized Poincaré metric is a

complete Kähler metric of finite volume in the neighborhood of  $x$ , whose Ricci curvature is bounded from below. Set

$$(3.18) \quad h_\varepsilon^L := h^L (-\log(\|\sigma\|^2))^\varepsilon e^{-\varepsilon\psi}, \quad 0 < \varepsilon \ll 1,$$

where  $\psi$  is a singular weight which vanishes outside a neighbourhood of  $x$  and  $\psi(z) = 2n \log |z-x|$  in local coordinates near  $x$ . We can apply [15, Theorem 6.1.1] to  $(X \setminus \{x\}, \Theta_{\varepsilon_0})$ ,  $(L, h_\varepsilon^L)$  and  $E$ , since condition (3.15) are satisfied for this data. Let  $y \in X$ ,  $y \neq x$ . Due to the asymptotics of the Bergman kernel on  $X \setminus \{x\}$ , there exists  $p_0(x, y)$  such that for all  $p \geq p_0(x, y)$  there is a section

$$(3.19) \quad \widehat{S}_{x,y}^p \in H_{(2)}^0(X \setminus \{x\}, L^p \otimes E, h_\varepsilon^L, \Theta_{\varepsilon_0}^n) \text{ with } \widehat{S}_{x,y}^p(y) \neq 0.$$

Since the volume form  $\Theta_{\varepsilon_0}^n$  dominates the Euclidean volume form and  $e^{-\varepsilon\psi}$  is not integrable near  $x$ , the  $L^2$  condition on  $\widehat{S}_{x,y}^p$  implies that  $\widehat{S}_{x,y}^p$  extends to  $X$  with the value 0 at  $x$ . The extension  $S_{x,y}^p$  is necessarily holomorphic by the Hartogs theorem and moreover an element of  $H_{(2)}^0(X, L^p \otimes E)$ . It satisfies  $S_{x,y}^p(x) = 0$ ,  $S_{x,y}^p(y) \neq 0$ , as desired.

In a similar manner one proves that  $H_{(2)}^0(X, L^p \otimes E)$  separate points and give local coordinates on  $K$ .  $\square$

**Remark 3.11. (a)** Under the hypotheses of Theorem 3.7 (that is, bounded geometry) the argument above shows that the  $p_0 \in \mathbb{N}$  in Proposition 3.10 can be chosen such that for all  $p \geq p_0$ ,  $H_{(2)}^0(X, L^p \otimes E)$  separate points and give local coordinates on the whole  $X$ , i. e., points (i) and (ii) from Remark 3.9 (b) hold.

**(b)** The separation of points in Proposition 3.10 follows also from a non-compact version of [9, Theorem 1.8], where the asymptotics of the Bergman kernel for space of sections of a positive line bundle twisted with the Nadel multiplier sheaf of a singular metric are obtained.

**Theorem 3.12.** *Let  $(X, J, \Theta)$  be a complete Hermitian manifold and let  $\varphi$  be a smooth function on  $X$ . Assume that  $\varphi$  is bounded from below,  $\partial\bar{\partial}\varphi$ ,  $J$ ,  $g^{TX}$  have bounded geometry and there exists  $\varepsilon > 0$  such that  $\sqrt{-1}\partial\bar{\partial}\varphi \geq \varepsilon\Theta$  on  $X$ . Then  $X$  is a Stein manifold.*

*Proof.* We apply Theorem 3.7 for the trivial line bundle  $L = X \times \mathbb{C}$  endowed with the metric  $h^L$  defined by  $|1|_{h^L}^2 = e^{-2\varphi}$ . Then

$$(3.20) \quad R^L = 2\partial\bar{\partial}\varphi.$$

Thus  $X$  is holomorphically convex with respect to  $(L, e^{-2p\varphi})$  for  $p$  sufficiently large. Since  $\varphi$  is bounded below this implies that  $X$  is holomorphically convex with respect to the trivial line bundle endowed with the trivial metric. Moreover, Remark 3.9 (b), or Proposition 3.10, shows that global holomorphic functions on  $X$  separate points and give local coordinates on  $X$ . Hence  $X$  is Stein.  $\square$

**Corollary 3.13.** *Let  $(X, J, \Theta)$  be a compact Hermitian manifold and  $(L, h^L)$  be a positive line bundle over  $X$ . Then there exists  $p_0 \in \mathbb{N}$  such that for all Galois covering  $\pi : \tilde{X} \rightarrow X$ , for all  $p \geq p_0$ , and all Hermitian metrics  $h_p$ ,  $h^E$  on  $L^p$ ,  $E$ ,  $\tilde{X}$  is holomorphically convex with respect to the bundle  $\tilde{L}^p \otimes \tilde{E}$ .*

*Proof.* The conclusion follows immediately from Theorem 3.7 for metrics  $h_p$  of the form  $(h^L)^p$ , where  $h^L$  is a positively curved metric on  $L$ . Observe finally that the convexity is independent of the Hermitian metrics  $h_p, h^E$  chosen on  $L^p, E$  over the compact manifold  $X$ .  $\square$

**Remark 3.14.** We can prove Corollary 3.13 also without the use of the off-diagonal decay of the Bergman kernel. What is actually needed is only Corollary 3.5 which uses only the diagonal expansion of the Bergman kernel. In order to carry out the proof we show that Lemma 3.8 follows from Corollary 3.5. By this Corollary, for any  $x \in \tilde{X}$  there exists  $S_x \in H_{(2)}^0(\tilde{X}, \tilde{L}^p \otimes \tilde{E})$  such that  $|S_x(x)| \geq M$ . Since  $S_x \in L^2(\tilde{X}, \tilde{L}^p \otimes \tilde{E})$ , there exists a compact set  $A(S_x, \varepsilon) \subset \tilde{X}$  such that

$$(3.21) \quad |S_x| \leq \varepsilon \text{ on } \tilde{X} \setminus A(S_x, \varepsilon).$$

Let  $F \subset \tilde{X}$  be a compact fundamental set. Using what has been said, there exists a compact set  $F(\varepsilon, M) \supset F$  and sections  $S_1, \dots, S_m \in H_{(2)}^0(\tilde{X}, \tilde{L}^p \otimes \tilde{E})$  such that

$$(3.22) \quad \max_{1 \leq i \leq m} |S_i(x)| \geq M \text{ for all } x \in F \text{ and } \max_{1 \leq i \leq m} |S_i(x)| \leq \varepsilon \text{ for all } x \in \tilde{X} \setminus F(\varepsilon, M).$$

Let  $K \subset \tilde{X}$  be a compact set and let  $\Gamma$  be the group of deck transformations of  $\pi : \tilde{X} \rightarrow X$ . Define

$$(3.23) \quad K(\varepsilon, M) = \bigcup \{ \gamma F : \gamma \in \Gamma, K \cap \gamma F(\varepsilon, M) \neq \emptyset \}.$$

Consider now  $x \in \tilde{X} \setminus K(\varepsilon, M)$ . Then there is  $\gamma \in \Gamma$  such that  $\gamma^{-1}x \in F$ . It follows that  $K \cap \gamma F(\varepsilon, M) = \emptyset$  so there is  $S_i$  such that  $|\gamma S_i(x)| \geq M$  and  $|\gamma S_i| \leq \varepsilon$  on  $K$ .

## REFERENCES

- [1] B. Berndtsson, *Bergman kernels related to Hermitian line bundles over compact complex manifolds*, Explorations in complex and Riemannian geometry, 1–17, Contemp. Math., 332, Amer. Math. Soc., Providence, RI, 2003.
- [2] J.-M. Bismut, *A local index theorem for non-Kähler manifolds*, Math. Ann. **284** (1989), no. 4, 681–699.
- [3] J.-M. Bismut, *Equivariant immersions and Quillen metrics*, J. Differential Geom. **41** (1995), no. 1, 53–157.
- [4] M. Christ, *On the  $\bar{\partial}$  equation in weighted  $L^2$ -norms in  $\mathbb{C}^1$* , J. Geom. Anal. **3** (1991), 193–230.
- [5] M. Christ, *Upper bounds for Bergman kernels associated to positive line bundles with smooth Hermitian metrics*, arXiv:1308.0062.
- [6] X. Dai, K. Liu, and X. Ma, *On the asymptotic expansion of Bergman kernel*, J. Differential Geom. **72** (2006), no. 1, 1–41.
- [7] H. Delin, *Pointwise estimates for the weighted Bergman projection kernel in  $\mathbb{C}^n$ , using a weighted  $L^2$  estimate for the  $\bar{\partial}$ -equation*, Ann. Inst. Fourier (Grenoble) **48** (1998), no. 4, 967–997.
- [8] J. P. Demailly, *Estimations  $L^2$  pour l'opérateur  $\bar{\partial}$  d'un fibré holomorphe semipositif au-dessus d'une variété kählérienne complète*, Ann. Sci. École Norm. Sup. **15** (1982), 457–511.
- [9] C.-Y. Hsiao and G. Marinescu, *The asymptotics for Bergman kernels for lower energy forms and the multiplier ideal Bergman kernel asymptotics*, arXiv:1112.5464, to appear in Comm. Anal. Geom.
- [10] N. Lindholm, *Sampling in weighted  $L^p$  spaces of entire functions in  $\mathbb{C}^n$  and estimates of the Bergman kernel*, J. Funct. Anal., 182(2) (2001), 390–426.
- [11] Z. Lu, S. Zelditch, *Szegő kernels and Poincaré series*, arXiv:1309.7088
- [12] J. Kollár, *Shafarevich maps and automorphic forms*, Princeton University Press, Princeton, NJ, 1995.

- [13] X. Ma, *Geometric quantization on Kähler and symplectic manifolds*, International Congress of Mathematicians, vol. II, Hyderabad, India, August 19-27 (2010), 785–810.
- [14] X. Ma and G. Marinescu, *The  $\text{Spin}^c$  Dirac operator on high tensor powers of a line bundle*, Math. Z. **240** (2002), no. 3, 651–664.
- [15] X. Ma and G. Marinescu, *Holomorphic Morse inequalities and Bergman kernels*, Progress in Mathematics, vol. 254, Birkhäuser Boston Inc., Boston, MA, 2007.
- [16] X. Ma and G. Marinescu, *Generalized Bergman kernels on symplectic manifolds*, Adv. Math. **217** (2008), no. 4, 1756–1815.
- [17] X. Ma and G. Marinescu, *Berezin-Toeplitz quantization and its kernel expansion*, Travaux Mathématiques **19** (2011), 125–166.
- [18] J. Milnor, *A note on curvature and fundamental group*, J. Differential Geom. **2** (1968) 1–7.
- [19] T. Napier, *Convexity properties of coverings of smooth projective varieties*, Math. Ann., **286** (1990), no. 1-3, 433–479.
- [20] T. Napier and M. Ramachandran, *The  $L^2$ -method, weak Lefschetz theorems and the topology of Kähler manifolds*, JAMS **11** (1998), no. 2, 375–396.
- [21] R. Todor and G. Marinescu and I. Chiose, *Morse Inequalities on covering manifolds*, Nagoya Math. J. **163** (2001), 145–165.

INSTITUT UNIVERSITAIRE DE FRANCE & UNIVERSITÉ PARIS DIDEROT - PARIS 7, UFR DE MATHÉMATIQUES,  
CASE 7012, 75205 PARIS CEDEX 13, FRANCE

*E-mail address:* ma@math.jussieu.fr

UNIVERSITÄT ZU KÖLN, MATHEMATISCHES INSTITUT, WEYERTAL 86-90, 50931 KÖLN, GERMANY, &  
INSTITUTE OF MATHEMATICS ‘SIMION STOILOW’, ROMANIAN ACADEMY, BUCHAREST, ROMANIA

*E-mail address:* gmarines@math.uni-koeln.de